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PATENT COOPERATION TREATY

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Trom the NTERNATIONAL PRELIMINARY EXA	MINING AUTHORITY		1	
To: HIMANSHU S. AMIN AMIN & TUROCY, LLP		PCT		
NATIONAL CITY CENTER, 24TH FLO 1900 E. 9TH STREET CLEVELAND, OH 44114		WRITTEN OPINION		
CLEVILAND, OH 44124	į,		(FCT Rule 66)	
	Ir	Date of Mailing	3 0 NOV 2003	
		(day/month/year) RBPLY DUE		
Applicant's or agent's file reference			within 2 months/days from the phove date of mailing	
MS302992_02 International application No.	International filing date (a	lay/month/year)	Priority date (day/month/year)	
PCT/US03/41526	31 December 2003 (31-12	2.2003)	25 February 2003 (25.02.2003)	
International Patent Classification (IPC)	or both national classification	m and IPC		
IPC(7): G06F 15/16 US CI: 709/206, 217			·	
Applicant				
MICROSOFT CORPORATION	·	·		
			minary Examining Authority.	
2. This opinion contains indicat	ions relating to the followir	ng itoma:	ļ	
1 🔀 Basis of the opin	ion		·	
II Priority				
III Non-establishme	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability			
	IV Lack of unity of invention			
V Reasoned statem citations and exp	Reasoned statement under Rule 66.2 (a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
VI Certain docume		•		
VII Certain defects i	n the international applicati	kon.		
VIII Certain observat	ions on the international ap	plication	·	
3. The applicant is hereby inv	ited to reply to this opinion	ı.		
When? See the time	e limit indicated above. The	e applicant may, bei la 66.2(d).	Fore the expiration of that time limit, request this	
For the form	n and the language of the m	mendinents, see Kui	riste, by emendments, according to Rule 66.3. es 66.8 and 66.9.	
Also For an additional opportunity to submit amendments, see Rule 66.4. For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis. For an informal communication with the examiner, see Rule 66.6			Rule 66.4. Vor arguments, see Rule 66.4 bis. de 66.6	
If no reply is filed, the into	anational proliminary exem	rination report will l	be established on the basis of this opinion.	
4 The final date by which the	e international preliminary e established according to R		- /	
Name and mailing address of the IP)	RA/US	Authorized off	iled	
Mail Stop PCT, Attn: IPEA/ US		- 1	11	
Commissioner for Putents P.O. Box 1450			en pen 4100	
Alexandria, Virginia 22313-1450 Telephon, No. 571-272-2100 Facsimile No. (571) 273-8300				
Form PCT/IPEA/408 (cover sheet)	July 1998)	/		

PAGE 5/9 * RCVD AT 1/20/2006 11:46:27 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):02-38

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International application No. PCT/US03/41526

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v.	Reasoned statement under Rule 66.2(a)(ii citations and explanations supporting suc	with regard to novelty, invent h statement	tve step or industrial applicability;
1.	STATEMENT		
		Claims 1-63	YES
	Novelty (N)	Claims NONE	NO
		<u> </u>	•
	Inventive Step (IS)	Claims NONE	YES
		Claims 1-63	NO
	Industrial Appropriately (2.1)	Claims 1-63	YES
		China NON	NO

2. CITATIONS AND EXPLANATIONS Claims 1-63 lack an inventive step under PCT Article 33(3) as being obvious over Stockwell in view of Buskirk.

Claims 1-63 are directed to a mail filtering system. Stockwell has given extensive treatment to mail filtering systems in columns 7-9 and column 11 and columns 13-15. A message was analyzed and forwarded based upon the characteristics of the message. Statistical means were factors in this analysis. Buskirk taught the routing or performing of an action upon email using confidence values, specifically referred to as statistical analyses in column 3, lines 53-64, column 4, lines 37-64, and column 5, lines 1-53. The combination of the statistical analyses in Buskirk and the mail filtering system of Stockwell, which are analogous pieces of prior art, clearly demonstrates that claims 1-63 lack an inventive step over the cited prior art.

Claims 1-63 meet the criteria set out in PCT Article 33(4) and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/PEA/408 (Box V) (July 1998)

International application No.

11/12/1998

PCT/US03/41526

WRITTEN OFINION

VI. Certain document ci	ted		
1. Certain published docu	ments (Rule 70.10)		
Application No <u>Patent No.</u> 6,072,942A	Publication Date (day/month/year) 6/6/2000	Filing Date <u>(day/month/year)</u> 18/9/1996	Priority Date (valid claim) (<u>day/month/year)</u>
6,424,997 B1	<i>23/7/</i> 2002	<i>2</i> 7/1/1999	
6,199,102 BI	6/3/2001	26/8/1997	
6,477,551 B1	5/11/2002	l <i>6/2/</i> 1 <i>9</i> 99	
6,101,531 A	8/8/2000	1 <i>5/4/</i> 1998	19/12/1995
5,884,033 A	1 6/ 3/199 9	15/5/1996	•
5,619,648 A	B/4/1997	30/11/1994	

2. Non-written disclosures (Rule 70.9)

19/11/2002

Kind of non-written disclosure

6,484,261 B1

Date of non-written disclosure (day/month/year)

Date of written disclosure referring to non-written disclosure (day/month/year)

17/2/1998

Form PCT/IPEA/408 (Box VI) (July 1998)

WRITTEN OPINION	International application No. PCT/US03/41526
Supplemental Box (To be used when the space in any of the preceding boxes is not	sufficient)
TIME LIMIT: The time limit set for response to a Written Opinion may not be of the time limit set in the Written Opinion will not be considered.	extended, 37 CFR 1.484(d). Any response received after the expiration d in preparing the International Preliminary Examination Report.
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PAGE 9/9 * RCVD AT 1/20/2006 11:46:27 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):02-38

Form PCT/IPEA/408 (Supplemental Box) (July 1998)